Bit Error Probability Analytical Models For Multi-Level Rectangular Quadrature Amplitude Modulation Scheme

Ememobong Nsima Livinus¹ Department of Electrical/Electronic and Computer Engineering, University of Uyo, Akwa Ibom, Nigeria Stephen, Bliss Utibe-Abasi² Department of Electrical/Electronic and Computer Engineering, University of Uyo, Akwa Ibom, Nigeria

Kalu Constance³ Department of Electrical/Electronic and Computer Engineering, University of Uyo, Akwa Ibom, Nigeria

Abstract- In this paper, Bit Error Probability (BER) analytical models for multi-level rectangular Quadrature Amplitude Modulation (QAM) were presented. Also, the analytical models for the Symbol Error Probability (SER) were presented. Generalized BER models for multi-level rectangular QAM modulation scheme were of presented in termed **Q**-function and complementary error function (erfc). Sample numerical computation of the BER for the multilevel rectangular QAM modulation scheme was performed in Matlab for modulation order ranging from 4 to 4096 and the values of the coefficients for the Q-function-based models, namely; A_{0fn} and B_{0fn} as well as the coefficients for the erfc-based models, namely; A_{erfc} and B_{erfc} were obtained. Notably, at modulation order, M =16, $A_{Qfn} = 3/4$ and $B_{Qfn}=$ 4/5 % = 4/5 $A_{erfc}=$ 3/8 and $B_{erfc}=$ 2/5. Also, the results on the BER versus Eb/No for various modulation order of the multi-level rectangular QAM showed that, at Eb/No= 4 dB: BER 1.2501E-02 for M = 4, BER = 5.8618E-02 for M = BER = 1.4691E-01 16, 1.1576E-01 for M = 64, for M = 256, and BER = 1.5230E-01 for M = 1024. Essentially, the BER was lowest at M= 4 and highest at M =1024. In all, BER increases with M for any given Eb/No.

Keywords— Bit Error Probability, Multi-Level Rectangular QAM, Symbol Error Probability, Complementary Error Function, Modulation Order , Q-Function, Modulation Scheme, Quadrature Amplitude Modulation

1. INTRODUCTION

Quadrature Amplitude Modulation (QAM) modulation technique allows carrier signal amplitude to vary with phase [1,2,3,4,5,6,7,8]. As such, QAM is seen as a combination of Amplitude Shift Keying (ASK) and Phase modulation Shift schemes Keying (PSK) [9,10,11,12,13,14,15,16,17,18]. In comparison with other modulation schemes, QAM has higher bandwidth efficiency for average signal a given power [19,20,21,22,23,24]. In recent years, QAM modulation scheme has been among the most widely used modulation schemes in different communication systems, such as wireless local-area networks (WLANs), cable TV, wireless sensor networks (WSN), cellular telephone systems, Wi-Fi and satellites communications [25,25,26,27].

In respect of constellation diagram structure, there are different types of QAM such as rectangular constellation QAM, square constellation QAM, circular symmetry [28,29,30,31,32,33]. constellation OAM, etc The rectangular QAM is much easier to modulate and demodulate because it has regular structure that is generated by implementing amplitude modulation in phase quadrature [34,35,36,37,38]. The rectangular and constellation QAM is obtained by considering the constellation points located in a rectangular shape. Particularly, the rectangular QAM constellation is used when the transmission of odd number of bits per symbol is required. In general, the rectangular OAM constellation is sub-optimal as it does not space the constellation points maximally for any given energy. Nevertheless, the rectangular QAM is easier to modulate and demodulate when compared to the other non-rectangular QAMs. In this paper, the Bit Error Probability (BER) analytical models for multi-level rectangular QAM modulation scheme are studied. The BER models are presented in terms of Qfunction, error function (erf) and complementary error function (erfc). The models are implemented using Matlab program.

2. METHODOLOGY

2.1 Bit Error Probability (BER) For Multi-Level Rectangular QAM (MQAM)

In terms of Q-function, the BER for multi-level rectangular QAM (Rct_MQAM) which is denoted as $P_{\text{bRct}_MQAM}(Qfn)$

is given as;

$$P_{\text{bRct}_{\text{MQAM}}}(Qfn) = \left(\frac{4(\sqrt{M}-1)}{(\text{Log}_2(M))\sqrt{M}}\right) Q\left(\sqrt{\left(\frac{3(\text{Log}_2M)}{M-1}\right)\left(\frac{\varepsilon_b}{N_0}\right)}\right) \quad (1)$$

A generalized form of the expression for $P_{bRct_MQAM}(Qfn)$ can be given as;

$$P_{\text{bRct}_M\text{QAM}}(Qfn) = \left(A_{Qfn}\right)Q\left(\sqrt{\left(B_{Qfn}\right)\left(\frac{\varepsilon_b}{N_0}\right)}\right)$$
(2)
where

$$A_{Qfn} = \frac{4(\sqrt{M}-1)}{(\log_2(M))\sqrt{M}}$$
(3)

$$B_{Qfn} = \frac{3(\log_2 M)}{M-1}$$
(4)

When complementary error function (erfc), is considered, $P_{bRct_MQAM}(erfc)$ is given as;

$$P_{\text{bRct}_M\text{QAM}}(erfc) = \left(\frac{2(\sqrt{M}-1)}{(\text{Log}_2(M))\sqrt{M}}\right) erfc\left(\sqrt{\left(\frac{3(\text{Log}_2 M)}{2(M-1)}\right)\left(\frac{\varepsilon_b}{N_0}\right)}\right)$$
(5)

A generalized form of the expression for $P_{bRct_MQAM}(erfc)$ can be given as;

$$P_{\text{bRct}_M\text{QAM}}(erfc) = \left(A_{erfc}\right)erfc\left(\sqrt{\left(B_{erfc}\right)\left(\frac{\varepsilon_b}{N_0}\right)}\right) \tag{6}$$

$$A_{erfc} = \frac{2(\sqrt{M}-1)}{(\log_2(M))\sqrt{M}}$$
(7)

$$B_{erfc} = \frac{3(\log_2 M)}{2(M-1)} \tag{8}$$

Similarly, when error function (erfc), is considered, $P_{bRct_MQAM}(erf)$ is given as;

$$P_{\text{bRct}_M\text{QAM}}(erf) = \left(\frac{2(\sqrt{M}-1)}{(\text{Log}_2(M))\sqrt{M}}\right) \left(1 - erf\left(\sqrt{\left(\frac{3(\text{Log}_2M)}{2(M-1)}\right)\left(\frac{\varepsilon_b}{N_0}\right)}\right)\right) \quad (9)$$

2.2 Symbol Error Probability (SER) For multi-level rectangular QAM (MQAM)

In terms of Q-function, P_{sRct_MQAM}(Qfn) which is the SER for multi-level rectangular QAM is given as;

$$P_{\text{sRct}_M\text{QAM}}(Qfn) = \left(\frac{4(\sqrt{M}-1)}{\sqrt{M}}\right) Q\left(\sqrt{\left(\frac{3}{M-1}\right)\left(\frac{\varepsilon_s}{N_0}\right)}\right) (10)$$

When complementary error function (erfc), is considered, $P_{sRct_MQAM}(erfc)$ is given as;

$$P_{\text{sRct}_M\text{QAM}}(erfc) = \left(\frac{2(\sqrt{M}-1)}{\sqrt{M}}\right) erfc\left(\sqrt{\left(\frac{3}{2(M-1)}\right)\left(\frac{\varepsilon_s}{N_0}\right)}\right) (11)$$

Similarly, when error function (erf), is considered,

$$P_{\text{sRct}_M\text{QAM}}(erf) = \left(\frac{2(\sqrt{M}-1)}{\sqrt{M}}\right) \left(1 - erf\left(\sqrt{\left(\frac{3}{2(M-1)}\right)\left(\frac{\varepsilon_s}{N_0}\right)}\right)\right) (12)$$

3.0 RESULTS AND DISCUSSION

The computation of the BER for the multi-level rectangular QAM modulation scheme was performed in Matlab for modulation order ranging from 4 to 4096 and the values of the coefficients, A_{Qfn} and B_{Qfn} as well as A_{erfc} and B_{erfc} were obtained , as shown in Table 1 and Table 2 respectively. Notably, at M =16, $A_{Qfn} = 3/4$ and $B_{Qfn} = 4/5$, $A_{erfc} = 3/8$ and $B_{erfc} = 2/5$.

The results on the BER versus Eb/No for various modulation order of the Multi-Level Rectangular QAM are given in Table 3 and Figure 1. Similarly, the results of BER versus modulation order of the multi-level rectangular QAM for various Eb/No are shown in Table 4 and Figure 2. The results in Table 3, Figure 2, Table 4 and Figure 2 show that, at Eb/No= 4 dB; BER = 1.2501E-02 for M = 4, BER = 1.4691E-01 for M = 256, and BER = 1.5230E-01 for M = 1024. Essentially, the BER is lowest at M= 4 and highest at M = 1024. In all, the BER increases with M for any given Eb/No.

Table 1 Values of the parameters AQfn and BQfn for Q-function-based BER for the Multi-Level Rectangular QAM Modulation scheme

М	A _{Qfn}	B _{Qfn}	$P_{bRct_MQAM}(Qfn)$
4	1	2	$(1)Q\left(\sqrt{(2)\left(\frac{\varepsilon_b}{N_0}\right)}\right)$
16	3/4	4/5	$(3/4)Q\left(\sqrt{(4/5)\left(\frac{\varepsilon_b}{N_0}\right)}\right)$
64	7/12	2/7	$(7/12)Q\left(\sqrt{(2/7)\left(\frac{\varepsilon_b}{N_0}\right)}\right)$
256	15/32	8/85	$(15/32)Q\left(\sqrt{(8/85)\left(\frac{\varepsilon_b}{N_0}\right)}\right)$
1024	31/80	10/341	$(31/80)Q\left(\sqrt{(10/341)\left(\frac{\varepsilon_b}{N_0}\right)}\right)$
4096	21/64	4/455	$(21/64)Q\left(\sqrt{(4/455)\left(\frac{\varepsilon_b}{N_0}\right)}\right)$

Table 2 Values of the parameters A_{erfc} and B_{erfc} for erfc-function-based BER for the Multi-Level Rectangular QAM Modulation scheme

М	A _{erfc}	B _{erfc}	$P_{bRct_MQAM}(erfc)$
4	1/2	1	$(1/2) \operatorname{erfc}\left(\sqrt{(1)\left(\frac{\varepsilon_b}{N_0}\right)}\right)$
16	3/8	2/5	$(3/8) \operatorname{erfc}\left(\sqrt{(2/5)\left(\frac{\varepsilon_b}{N_0}\right)}\right)$
64	7/24	1/7	$(7/24)$ erfc $\left(\sqrt{(1/7)\left(\frac{\varepsilon_b}{N_0}\right)}\right)$
256	15/64	4/85	$(15/64) \operatorname{erfc}\left(\sqrt{(4/85)\left(\frac{\varepsilon_b}{N_0}\right)}\right)$
1024	31/160	5/341	$(31/160) \operatorname{erfc}\left(\sqrt{(5/341)\left(\frac{\varepsilon_b}{N_0}\right)}\right)$
4096	21/128	2/455	$(21/128) \operatorname{erfc}\left(\sqrt{(2/455)\left(\frac{\varepsilon_b}{N_0}\right)}\right)$

Table 3 BER Versus Eb/No for various modulation order of the Multi-Level Rectangular QAM

Signal Levels or Modulation Order, M	4	16	64	256	1024
K bits/symbol	2	4	6	8	10
Eb/No(dB)	Rct_MQAM BER For M=4	Rct_MQAM BER For M=16	Rct_MQAM BER For M=64	Rct_MQAM BER For M=256	Rct_MQAM BER For M=1024
0	7.8650E-02	1.3916E-01	1.7295E-01	1.7789E-01	1.6741E-01
2	3.7506E-02	9.7559E-02	1.4612E-01	1.6391E-01	1.6068E-01
4	1.2501E-02	5.8618E-02	1.1576E-01	1.4691E-01	1.5230E-01
6	2.3883E-03	2.7871E-02	8.3473E-02	1.2667E-01	1.4194E-01
8	1.9091E-04	9.2472E-03	5.2320E-02	1.0334E-01	1.2925E-01
10	3.8721E-06	1.7542E-03	2.6533E-02	7.7807E-02	1.1395E-01
12	9.0060E-09	1.3866E-04	9.7240E-03	5.2022E-02	9.5984E-02
14	6.8101E-13	2.7632E-06	2.1540E-03	2.9098E-02	7.5707E-02
16	0.0000E+00	6.2502E-09	2.1717E-04	1.2400E-02	5.4235E-02
18	0.0000E+00	4.5222E-13	6.3511E-06	3.4721E-03	3.3663E-02
20	0.0000E+00	0.0000E+00	2.6339E-08	5.0531E-04	1.6819E-02
22	0.0000E+00	0.0000E+00	4.9744E-12	2.6336E-05	6.0244E-03
24	0.0000E+00	0.0000E+00	0.0000E+00	2.7204E-07	1.2877E-03



Figure 1 BER Versus Eb/No for various modulation order of the Multi-Level Rectangular QAM

Table 4	BFR Versus Modulation	Order of the Multi-Level Rectangular	OAM for various Fb/No
1 abic 4	DER VEISUS MIUUUIAUUI	Of the Multi-Level Rectangular	VALVE TOT VALIOUS LD/190

Signal Levels or Modulation Order, M	BER for Eb/No = 4 dB	BER for Eb/No = 8 dB	BER for Eb/No = 12 dB
4	1.2501E-02	1.9091E-04	9.0060E-09
16	5.8618E-02	9.2472E-03	1.3866E-04
64	1.1576E-01	5.2320E-02	9.7240E-03
256	1.4691E-01	1.0334E-01	5.2022E-02



Figure 2 BER Versus Modulation Order of the Multi-Level Rectangular QAM for various Eb/No

4.0 CONCLUSION

Multi-Level Rectangular QAM (MQAM) modulation scheme is studied. The bit error rate (BER) is computed using analytical models that are presented in the paper. Matlab program was used to implement the computation. The BER was computed for various modulation order and Eb/No . The results showed that the BER increases with modulation order for any given Eb/No.

REFERENCES

- Rodriguez, J., Lamar, D. G., Sebastian, J., & Miaja, P. F. (2017, March). Taking advantage of the output voltage ripple of a two-phase buck converter to perform quadrature amplitude modulation for visible light communication. In 2017 IEEE Applied Power Electronics Conference and Exposition (APEC) (pp. 2116-2123). IEEE.
- Bilal, S. M., Fludger, C. R., Curri, V., & Bosco, G. (2014). Multistage carrier phase estimation algorithms for phase noise mitigation in 64quadrature amplitude modulation optical systems. *Journal of Lightwave Technology*, *32*(17), 2973-2980.
- Zhou, X. (2010). An improved feed-forward carrier recovery algorithm for coherent receivers with \$ M \$-QAM modulation format. *IEEE Photonics Technology Letters*, 22(14), 1051-1053.
- 4. Peppas, K. P., & Datsikas, C. K. (2010). Average symbol error probability of general-order rectangular quadrature amplitude modulation of optical wireless communication systems over atmospheric turbulence channels. *Journal of Optical Communications and Networking*, 2(2), 102-110.
- Li, X. (2008). Simulink-based simulation of quadrature amplitude modulation (QAM) system. In Proceedings of the 2008 IAJC-IJME International Conference.
- 6. Hanzo, L., Webb, W. T., & Keller, T. (2000). Single-and Multi-carrier Quadrature Amplitude Modulation: Principles and Applications for Personal Communications, WATM and Broadcasting: 2nd. IEEE Press-John Wiley.
- Zhou, X. (2010). An improved feed-forward carrier recovery algorithm for coherent receivers with \$ M \$-QAM modulation format. *IEEE Photonics Technology Letters*, 22(14), 1051-1053.
- 8. Wei, W., & Mendel, J. M. (2000). Maximumlikelihood classification for digital amplitudephase modulations. *IEEE transactions on Communications*, 48(2), 189-193.
- Thomas, S. J., Wheeler, E., Teizer, J., & Reynolds, M. S. (2012). Quadrature amplitude modulated backscatter in passive and semipassive UHF RFID systems. *IEEE Transactions on Microwave Theory and Techniques*, 60(4), 1175-1182.
- 10. Daldal, N., Cömert, Z., & Polat, K. (2020). Automatic determination of digital modulation types with different noises using convolutional

neural network based on time-frequency information. *Applied Soft Computing*, *86*, 105834.

- 11. Correia, R., Boaventura, A., & Carvalho, N. B. (2017). Quadrature amplitude backscatter modulator for passive wireless sensors in IoT applications. *IEEE Transactions on Microwave Theory and Techniques*, 65(4), 1103-1110.
- Masud, M. A., Samsuzzaman, M., & Rahman, M. A. (2010). Bit error rate performance analysis on modulation techniques of wideband code division multiple access. *arXiv preprint arXiv:1003.5629*.
- 13. Kishore, G. S., & Rallapalli, H. (2019, April). Performance Assessment of M-ary ASK, FSK, PSK, QAM and FQAM in AWGN Channel. In 2019 International Conference on Communication and Signal Processing (ICCSP) (pp. 0273-0277). IEEE.
- 14. Al Safi, A., & Bazuin, B. (2017, January). Toward digital transmitters with amplitude shift keying and quadrature amplitude modulators implementation examples. In 2017 IEEE 7th Annual Computing and Communication Workshop and Conference (CCWC) (pp. 1-7). IEEE.
- 15. Rodríguez, J., Lamar, D. G., Miaja, P. F., & Sebastián, J. (2017). Reproducing single-carrier digital modulation schemes for VLC by controlling the first switching harmonic of the DC–DC power converter output voltage ripple. *IEEE Transactions on Power Electronics*, 33(9), 7994-8010.
- Karim, H. K., Shenger, A. E., & Zerek, A. R. (2019, March). BER Performance Evaluation of Different Phase Shift Keying Modulation Schemes. In 2019 19th International Conference on Sciences and Techniques of Automatic Control and Computer Engineering (STA) (pp. 632-636). IEEE.
- 17. Trikha, M., Sharma, N., Singhal, M., Rajan, R., & Bhardwaj, P. (2013). BER performance comparison between QPSK and 4-QA modulation schemes. *MIT International Journal of Electrical and Instrumentation Engineering*, *3*(2), 62-66.
- Singh, M., Malhotra, J., Rajan, M. M., Dhasarathan, V., & Aly, M. H. (2020). Performance evaluation of 6.4 Tbps dual polarization quadrature phase shift keying Nyquist-WDM superchannel FSO transmission link: Impact of different weather conditions. *Alexandria Engineering Journal*, 59(2), 977-986.
- 19. Svensson, A. (2007). An introduction to adaptive QAM modulation schemes for known and predicted channels. *Proceedings of the IEEE*, 95(12), 2322-2336.
- Khallaf, H. S., & Shalaby, H. M. (2014, July). Proposal of a hybrid QAM-MPPM technique for optical communications systems. In 2014 16th International Conference on Transparent Optical Networks (ICTON) (pp. 1-4). IEEE.
- Li, C., Wang, M., Chi, T., Kumar, A., Boenke, M., Cahoon, N., ... & Wang, H. (2017, March). A high-efficiency 5G K/Ka-band stacked power

amplifier in 45nm CMOS SOI process supporting 9Gb/s 64-QAM modulation with 22.4% average PAE. In 2017 Texas Symposium on Wireless and Microwave Circuits and Systems (WMCS) (pp. 1-4). IEEE.

- 22. Mazahir, S., & Sheikh, S. A. (2016). On companding schemes for PAPR reduction in OFDM systems employing higher order QAM. *IEEE Transactions on Broadcasting*, 62(3), 716-726.
- Mazahir, S., & Sheikh, S. A. (2016). On companding schemes for PAPR reduction in OFDM systems employing higher order QAM. *IEEE Transactions on Broadcasting*, 62(3), 716-726.
- 24. Li, X. (2008). Simulink-based simulation of quadrature amplitude modulation (QAM) system. In *Proceedings of the 2008 IAJC-IJME International Conference*.
- 25. Svensson, A. (2007). An introduction to adaptive QAM modulation schemes for known and predicted channels. *Proceedings of the IEEE*, 95(12), 2322-2336.
- Singh, M., & Malhotra, J. (2019). Performance comparison of M-QAM and DQPSK modulation schemes in a 2× 20 Gbit/s–40 GHz hybrid MDM– OFDM-based radio over FSO transmission system. *Photonic Network Communications*, 38(3), 378-389.
- 27. Wei, J. L., Ingham, J. D., Cunningham, D. G., Penty, R. V., & White, I. H. (2012). Performance and power dissipation comparisons between 28 Gb/s NRZ, PAM, CAP and optical OFDM systems for data communication applications. *Journal of Lightwave Technology*, *30*(20), 3273-3280.
- Winzer, P. J., Gnauck, A. H., Doerr, C. R., Magarini, M., & Buhl, L. L. (2009). Spectrally efficient long-haul optical networking using 112-Gb/s polarization-multiplexed 16-QAM. *Journal* of lightwave technology, 28(4), 547-556.
- 29. Budišin, S. Z., & Spasojević, P. (2014). Paraunitary generation/correlation of QAM complementary sequence pairs. *Cryptography and Communications*, 6(1), 59-102.

- Wesel, R. D., Liu, X., Cioffi, J. M., & Komninakis, C. (2001). Constellation labeling for linear encoders. *IEEE Transactions on Information Theory*, 47(6), 2417-2431.
- 31. Abdelaziz, M., & Gulliver, T. A. (2017). Triangular constellations for adaptive modulation. *IEEE Transactions on Communications*, 66(2), 756-766.
- 32. Ling, W. A., Lyubomirsky, I., & Solgaard, O. (2014). Digital quadrature amplitude modulation with optimized non-rectangular constellations for 100 Gb/s transmission by a directly-modulated laser. *Optics express*, 22(9), 10844-10857.
- Pappi, K. N., Lioumpas, A. S., Karagiannidis, G. K., & Kotsopoulos, S. A. (2009, October). Performance analysis of variable-angle quadrature amplitude constellations. In 2009 IEEE International Conference on Wireless and Mobile Computing, Networking and Communications (pp. 314-319). IEEE.
- Karlsson, M., & Agrell, E. (2012, March). Spectrally efficient four-dimensional modulation. In *OFC/NFOEC* (pp. 1-3). IEEE.
- 35. Chen, R. R., Koetter, R., Madhow, U., & Agrawal, D. (2003). Joint noncoherent demodulation and decoding for the block fading channel: A practical framework for approaching Shannon capacity. *IEEE Transactions on Communications*, 51(10), 1676-1689.
- 36. Han, S. H., Cioffi, J. M., & Lee, J. H. (2008). On the use of hexagonal constellation for peak-toaverage power ratio reduction of an ODFM signal. *IEEE transactions on wireless communications*, 7(3), 781-786.
- 37. Voudoukis, N. (2017). Performance Analysis, Characteristics, and Simulation of Digital QAM. European Journal of Electrical Engineering and Computer Science, 1(1).
- Wen, M., Basar, E., Li, Q., Zheng, B., & Zhang, M. (2017). Multiple-mode orthogonal frequency division multiplexing with index modulation. *IEEE Transactions on Communications*, 65(9), 3892-3906.